

PREA AUDIT REPORT INTERIM FINAL

ADULT PRISONS & JAILS



Auditor Information			
Auditor name: Michael Klemke			
Address: P.O. Box 1899, Airway Heights Corrections Center, Airway Heights, WA 99001			
Email: mjklekme@doc1.wa.gov			
Telephone number: 509-244-6817			
Date of facility visit: June 6-9, 2016			
Facility Information			
Facility name: Springer Correctional Center			
Facility physical address: 201 Road HWY. 468 Springer, New Mexico, 87747			
Facility mailing address: (if different from above) P.O. Box 10 Springer, New Mexico, 87747			
Facility telephone number: 575-483-3100			
The facility is:	<input type="checkbox"/> Federal	<input checked="" type="checkbox"/> State	<input type="checkbox"/> County
	<input type="checkbox"/> Military	<input type="checkbox"/> Municipal	<input type="checkbox"/> Private for profit
	<input type="checkbox"/> Private not for profit		
Facility type:	<input checked="" type="checkbox"/> Prison	<input type="checkbox"/> Jail	
Name of facility's Chief Executive Officer: John Sanchez, Warden			
Number of staff assigned to the facility in the last 12 months: 78			
Designed facility capacity: 294			
Current population of facility: 271			
Facility security levels/inmate custody levels: 1 and 2			
Age range of the population: 18-70			
Name of PREA Compliance Manager: Michael Sanchez		Title: Lieutenant	
Email address: michael.sanchez@state.nm.us		Telephone number: 575-483-3161	
Agency Information			
Name of agency: New Mexico Department of Corrections			
Governing authority or parent agency: (if applicable)			
Physical address: 4337 NM 14, Santa Fe, New Mexico 87508			
Mailing address: (if different from above) P.O. Box 27116, Santa Fe, NM 87502-0116			
Telephone number: 505-827-8645			
Agency Chief Executive Officer			
Name: Greg Marcantel		Title: Secretary of Corrections	
Email address: greg.marcantel@state.nm.us		Telephone number: 505-827-8884	
Agency-Wide PREA Coordinator			
Name: Jillian Shane		Title: PREA Coordinator	
Email address: jillian.shane@state.nm.us		Telephone number: 575-523-3303	

AUDIT FINDINGS

NARRATIVE:

Prior to the audit interviews were conducted with the Deputy Secretary of Administration, the Statewide PREA Coordinator and the head of investigators for the Department of Professional Standards, which is responsible for PREA investigations. These interviews indicated the Department is committed to complying with the PREA Federal Standards.

The PREA Audit of the Springer Correctional Center was conducted on June 6-9, 2016. The Designated Certified Auditor, Michael Klemke, was assisted by Margaret Gilbert, who has been through National PREA Auditor training.

The audit team wishes to extend its appreciation to Warden John Sanchez, Lt. Michael Sanchez and their staff for the professionalism, hospitality, and kindness they showed the audit team.

The audit team also wishes to compliment the New Mexico DOC PREA Coordinator, Jillian Shane for her outstanding work in organizing and assisting us with the requested information prior to and during the audit. This enabled the audit to move forward very efficiently.

Upon arrival at the facility, we held a pre-audit meeting to inform staff why we were there and what we were looking for in the audit. The following New Mexico staff were at this entrance meeting: Statewide PREA Coordinator Jillian Shane, Warden John Sanchez, Captain Robert Gonzales, PREA Compliance Manager Lt. Michael Sanchez, Human Resources Cindy Martinez, Compliance Lynne Brown, Training Manager Lance Lacy, Chaplain Bob Clevenger, STIU Ellen Herrera, Medical Shantelle Gallegos, Maintenance Joseph Martinez, Unit Manager Terry Molina, Administration Julie Mendez, Administration John Gillespie, Business Office Valoria Garcia, Mental Health Cecilia King, Medical Melissa Rodriguez, Education Anthony Sanchez, Classification Gerald Ward, Food Service Rebecca Martinez and Food Service Carl Gonzales.

Following the Entrance Meeting, the audit team was given a very thorough tour of the Springer Correctional Center to include the living units, program areas, visiting, Correctional Industries, kitchen, warehouse, intake area, training department and the recreation area. During the tour, we spoke with staff and inmates, observed camera placements, observed processes and looked for blind spots or other concerns. The auditors made a few recommendations regarding removal of blinds and a mirror placement, which was completed before the end of the day.

After the tour, the audit team began interviewing staff and reviewing files. At least one offender from each housing unit was interviewed. Those interviewed were randomly selected, by the auditors, from a list of all the inmates in the facility. In addition, inmates who were identified as being in a designated group (i.e. disabled, limited English speaking ability, LGBTI, or who had reported a sexual abuse, etc.) were also interviewed. The interviews indicate the inmates know what PREA is and how to report concerns.

Correctional officers from each shift were interviewed and other identified specialized staff were interviewed, including the Warden, PREA Compliance Manager, first responders, contractor, health care providers, mental health professionals, HR, and intake staff.

The the correctional officers and other staff were knowledgeable about PREA, offender rights regarding PREA, first response, and evidence collection. The vast majority of staff clearly understood PREA and the agency's commitment to it. Warden Sanchez is committed to implementing and ensuring compliance with the PREA standards within his facility and it was very apparent to the audit team.

Health care and mental health staff that provide services to the inmates at Springer Correctional Center are very professional and knowledgeable with regard to their responsibilities when responding to PREA allegations and the protocols for follow up treatment.

When the on-site audit was completed, the audit team conducted an exit meeting with the facility executive team. The auditors gave a brief overview of the audit results and thanked the Springer Correctional Center staff for their hard work and commitment to the Prison Rape Elimination Act.

It is apparent to the auditors that the facility staff are dedicated and committed to meeting the Federal PREA Standards, but also to the overall safety and security of the inmates, staff and visitors.

DESCRIPTION OF FACILITY CHARACTERISTICS:

Facility Demographics

	Rated Capacity:	294
Actual Population:		276
Average Population (Last 12 months):		266
Average Length of Stay of Offenders:	18 months	
Security/Custody Level:		Minimum
Age Range of Offenders:	18-70	
Gender of Offenders:	Male	
Number of Full Time Staff:	61	

Introduction

The Springer Correctional Center (SCC) is a state-run detention facility designed to house minimum-security (Level I and Level II) inmates. It has a rated capacity of 294. SCC is the newest facility operated by the New Mexico Corrections Department (NMCD) having officially opened on January 1, 2007. NMCD operates a total of six detention facilities statewide. These facilities are termed either as correctional center or correctional facility.

Just like any other facility under the jurisdiction of NMCD, SCC is committed to protect the general public and to provide a safe and humane environment for the staff and inmate population by maintaining custody control and supervision, and by providing programs and services for offenders with professionalism, progressive management and fiscal responsibility.

Location and Accessibility

The Springer Correctional Center is located in the Town of Springer, County of Colfax in northeastern New Mexico. It is straddled in a 40-acre fenced-in land at the west end of a gently sloping 4,000-acre state government property two miles northwest of the town proper along State Highway 468.

The SCC is best accessed by land along Interstate Highway 25 at either Exit 412 or Exit 414. At Exit 412, those coming in from the South pass thru the center of town following US Highway 56 (Maxwell Ave.), then westward at State Highway 468. Those coming in from the North take Exit 414. After about one mile following US Highway 56 South is the junction to State Highway 468.

Springer is a ranching community of less than 900 people. There are few business establishments, and therefore economic opportunity is limited. The nearest commercial center is Raton, the county seat, 40 miles north.

Historical Background

The Springer Correctional Center sits on what used to be the New Mexico Boys' School, a juvenile detention facility under the Children, Youth and Families Department (CYFD). The Boys' School was one of the state's oldest detention facilities, with a 97-year history that dates back to 1909.

When CYFD decided to close the school in November 2005, the NMCD came in and assessed that the facility was suitable to house Level I and Level II inmates. Following a series of town hall meetings, CYFD turned over the New Mexico Boys' School to the NMCD. In 2006, the Legislature appropriated the necessary funding to transform the school into what is today the Springer Correctional Center.

The Boys' School was an open campus. In the meetings that preceded the closure of the school, and its eventual turnover to the NMCD and conversion into an adult prison facility, concern about possible escapes was raised. There were instances of walk-aways during the Boys' School days and many of the townspeople were worried that this could happen with adult offenders, endangering the residents of the town. A perimeter fence was thus constructed around the 40-acre property.

Organizational Structure and Staffing Pattern

The New Mexico Corrections Department has a uniform organizational structure and staffing pattern for all of its correctional facilities or centers. At the Springer Correctional Center, the staff basically makes up two groups: security and non-security. There are a total of 77 full time staff, and 10 regular contractual employees. The organizational structure of SCC (Figure 1) calls for a total of 97 full time employees (FTE). Most of the vacancies occur in the security staff.

SUMMARY OF AUDIT FINDINGS:

Number of standards exceeded: 3

Number of standards met: 36

Number of standards not met: 0

Number of standards not applicable: 4

Total: 43

**Standard 115.11 - Zero tolerance of sexual abuse and sexual harassment;
PREA Coordinator**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policy CD-150100, memo from the Secretary of Administration, memo from the Human Resource Administrator, Organization Chart and interviews with the Agency PREA Coordinator, facility investigator, and investigator from Office of Professional Standards, Springer Correctional Center is compliant with all components of Standard 115.11.

Standard 115.12 - Contracting with other entities for the confinement of inmates

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Springer Correctional Center does not have any contracts for the confinement of its inmates with private agencies or other entities, including other government agencies, therefore Standard 115.12 is non-applicable.

Standard 115.13 - Supervision and monitoring

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policy CD-150100, minutes from 2014 Annual Assessment of NMCD's Progress in Addressing Sexual Abuse, Staffing Plan, review of log books, interviews with staff and review of camera placement and video monitoring, Springer Correctional Center is compliant with all components of Standard 115.13.

***Note* Springer Correctional Center had 132 cameras throughout the facility. They recently added an additional 55 to ensure all areas and blind spots are covered.**

Standard 115.14 - Youthful inmates

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on letter from the PREA Compliance Manager and a review of the age report on offenders housed at Springer Correctional Center, they do not house youthful inmates and therefore, Standard 115.14 is non-applicable.

Standard 115.15 - Limits to cross-gender viewing and searches

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policies CD-150100, training lesson plan for Search Procedures, PREA powerpoint used for staff training, interviews with staff

and inmates, review of strip search logs and observations when doing the tour (witnessed female staff make announcements), Springer Correctional Center is compliant with all components of Standard 115.15.

Standard 115.16 - Inmates with disabilities and inmates who are limited English proficient

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policy 150100, PREA Pamphlet, postings throughout the institution on PREA reporting, Vendor Contracts with Interpreter Services, List of certified staff interpreters, interviews with inmates and utilization of an interpreter during and inmate interview, Springer Correctional Center exceeds standard 115.16.

***Note* Springer Correctional Center exceeds this standard. There are postings for inmates to read in both English and Spanish in every building that the public and inmates have access to that explain what PREA is, how to report concerns and the investigation process. The inmate orientation provides a PREA video, PREA Pamphlet (English/Spanish), and an inmate handbook (English/Spanish) that also has PREA information. There is also information in the library for offenders to review. Interviews with the inmates indicate they are not only receiving the information, but they are also understanding it. They also have a TTY phone for use if needed. Springer Correctional Center does not house blind offenders.**

Standard 115.17 - Hiring and promotion decisions

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policy CD-037400, background check requests, release of information forms, interview with human resource staff, and review of

personel files, Springer Correctional Center is compliant with all components of Standard 115.17.

***Note* Springer Correctional Center does background checks on all new employees and promotions and will complete a background check on every employee every three years.**

Standard 115.18 - Upgrades to facilities and technologies

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Memo from the PREA Compliance Manager indicates 55 new cameras were installed at Springer Correctional Center. During the tour, the auditors reviewed the new camera system in conjunction with the old system and there appears to be excellent coverage of all areas.

***Note* Based on the review of the new camera placements in conjunction with the old system, Springer Correctional Center exceeds Standard 115.18.**

Standard 115.21 - Evidence protocol and forensic medical examinations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150102, Memo of Understanding with Alternatives to Violence, memo from the Office of Inspector General showing what topics the New Mexico State Patrol receive in their 22 week training and interviews with an investigator from Office of Professional Standards, and facility investigator Springer Correctional Center is compliant with all components of Standard 115.21.

Standard 115.22 - Policies to ensure referrals of allegations for investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-031800, CD-033200 and CD-033201, review of Power Point: PREA for Staff, Training Lesson Plan: PREA for Staff, PREA for Staff Training Acknowledgement form, Certificates of Completion for Investigating Sexual Violence - Effective Strategies for Military and Civilian Law Enforcement, Certificates for Investigation of Sexual Assault in a Correctional Setting, and memo from the PREA Compliance Manager, Springer Correctional Center is in compliance with all componets of Standard 115.22.

Standard 115.31 - Employee training

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-190000 and CD-150100, Power Point: PREA for Staff, Training Lesson Plan: PREA for Staff, Staff Training Acknowledgement form, In-Service Training Schedule, interview of Facility Staff, and review of training records, Springer Correctional Center is in compliance with all componets of Standard 115.31.

Standard 115.32 - Volunteer and contractor training

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, Power Point: PREA for Volunteers and Contractors, Training Lesson Plan: PREA for Volunteers and Contractors,

PREA for Volunteers and Contractors Training Acknowledgement form, interview of Facility Contractors, and review of training records, Springer Correctional Center is in compliance with all componets of Standard 115.32.

Standard 115.33 - Inmate education

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, 2012 Orientation Video, Inmate Handbook, NMCD PREA Pamphlet (English/Spanish), Inmate Orientation Acknowledgement form, PREA Posters, PREA Resource Guide, Inmate Assessment Tool (S.R.N.S. I & II), facility tour, and inmate interviews, Springer Correctional Center is in compliance with all componets of Standard 115.33.

***Note* Springer Correctional Center has the PREA Hotline and how to report PREA concerns painted on the wall (English/Spanish) in every living unit and most buildings inmates are in. It is posted in all buildings with brochures and informative articles available in the library. There is a phone number for confidential reporting painted above every phone inmates have access to. Interviews with the inmates clearly indicate they know what PREA is and how to report concerns.**

Standard 115.34 - Specialized training: Investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Lesson Plan: Specialized Training for the Prevention, Detection and Response to Sexual Abuse in Detentin, Investigator training certificates, Letter from the NM Department of Public Safety, Interview with Warden and Interview with investigator, Springer Correctional Center is in compliance with all componets of Standard 115.34.

Standard 115.35 - Specialized training: Medical and mental health care

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Medical and Mental Health Power Point Presentation, training certificates, and interviews with medical and mental health staff, Springer Correctional Center is in compliance with all componets of Standard 115.35.

Standard 115.41 - Screening for risk of victimization and abusiveness

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, Inmate Assessment Test (S.R.N.S. I & II), PREA Screening Tool Users Guide, random selection of inmates S.R.N.S. Assessment Tool: 72 hour and 30 day re-evaluation, and observation of intake process, Springer Correctional Center is in compliance with all componets of Standard 115.41.

Standard 115.42 - Use of screening information

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-150100 and CD-080100, PREA Screening Tool Users Guide, review of classification process and interviews with staff, Springer Correctional Center is in compliance with all componets of Standard 115.42.

Standard 115.43 - Protective custody

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on tour and memo from the PREA Compliance Manager, Springer Correctional Center does not have a segregation unit and therefore Standard 115.43 does not apply.

***Note* Springer Correctional Center does not have a segregation unit. If an inmate needs segregated for any reason, they are sent to another institution.**

Standard 115.51 - Inmate reporting

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150101, Intergovernmental Agreement with Colorado, NMCD Web site page, Inmate Handbook, NMCD PREA Pamphlet (English/Spanish), and interviews with the inmates, Springer Correctional Center is in compliance with all componets of Standard 115.51.

Standard 115.52 - Exhaustion of administrative remedies

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-150500 and 150501, Inmate Orientation Handbook and Memorandum from the PREA Compliance Manager, Springer Correctional Center is in compliance with all componets of Standard 115.52.

Standard 115.53 - Inmate access to outside confidential support services

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150300, Memorandum of Understanding from Rape Crisis Center, NMCD PREA Pamphlet (English/Spanish), postings throughout the facility and interviews with the inmates, Springer Correctional Center is in compliance with all components of Standard 115.53.

Standard 115.54 - Third-party reporting

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150101, Memorandum of Understanding with Alternatives to Violence, NMCD PREA Pamphlet (English/Spanish), NMCD Web site page, PREA Posters, and Intergovernmental Agreement with Colorado, Springer Correctional Center is in compliance with all components of Standard 115.54.

Standard 115.61 - Staff and agency reporting duties

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-031800, CD-150101, PREA Officer Training Materials, PREA Acknowledgement forms, PREA Information sheet, and interviews with staff, Springer Correctional Center is in compliance with all components of Standard 115.61.

Standard 115.62 - Agency protection duties

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, Memorandum from the PREA Compliance Manager, interviews with the Warden and custody staff, Springer Correctional Center is in compliance with all componets of Standard 115.62.

Standard 115.63 - Reporting to other confinement facilities

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-031800, CD-150100, Memorandum from the PREA Compliance Manager, and interview with the Warden, Springer Correctional Center is in compliance with all componets of Standard 115.63.

Standard 115.64 - Staff first responder duties

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150102, PREA Officer Training plan/Power Point, signed PREA Acknowledgement Forms, first responder cards and interviews with staff, Springer Correctional Center is in compliance with all componets of Standard 115.64.

Standard 115.65 - Coordinated response

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150102, PREA Officer Training plan/Power Point, Facility Response to Sexual Assault Checklist, and interviews with staff and the Warden, Springer Correctional Center is in compliance with all componets of Standard 115.65.

Standard 115.66 - Preservation of ability to protect inmates from contact with abusers

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of pgs 108/109 of contract between the State of New Mexico and American Federation of State, County, and Municipal Employees (AFSCME Union) Council 18 and memo from the PREA Compliance Manager, Springer Correctional Center is in compliance with all componets of Standard 115.66.

Standard 115.67 - Agency protection against retaliation

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-150100, CD- 033201, Memorandum from the PREA Compliance Manager and interviews with Facility PREA Compliance Manager and Warden, Springer Correctional Center is in compliance with all componets of Standard 115.67.

Standard 115.68 - Post-allegation protective custody

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on tour and memo from the PREA Compliance Manager, Springer Correctional Center does not have a segregation unit and therefore Standard 115.68 does not apply.

***Note* Springer Correctional Center does not have a segregation unit. If an inmate needs segregated for any reason, they are sent to another institution.**

Standard 115.71 - Criminal and administrative agency investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-013800, CD-031801, CD-150101, Training Material: Investigating Sexual Assaults in a Correctional Setting, Training Certificates, Letter from the Department of Safety, and interviews with local and OPS investigators, Springer Correctional Center is in compliance with all componets of Standard 115.71.

Standard 115.72 - Evidentiary standard for administrative investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-031800, NMCD PREA Investigator Training, and interview with Facility investigative staff, Springer Correctional Center is in compliance with all componets of Standard 115.72.

Standard 115.73 - Reporting to inmates

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-150100, CD-150101, CD-150102, Memorandum from PREA Compliance manager, interviews with the Warden and investigative staff, Springer Correctional Center is in compliance with all componets of Standard 115.73.

Standard 115.76 - Disciplinary sanctions for staff

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-037800, CD-032200, CD-031800, CD-031801, Memorandum from Human Resources Manager, and Interview with Human Resources Manager, Springer Correctional Center is in compliance with all componets of Standard 115.76.

Standard 115.77 - Corrective action for contractors and volunteers

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-150100, CD-031801, CD-060200, CD-060201, Memorandum from Human Resources Manager and interview with the Warden, Springer Correctional Center is in compliance with all componets of Standard 115.77.

Standard 115.78 - Disciplinary sanctions for inmates

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-090100, CD-090101, CD-181001, CD-150100, Memorandums from the PREA Compliance Manager, review of infraction categories and interview with the Warden, Springer Correctional Center is in compliance with all componets of Standard 115.78.

Standard 115.81 - Medical and mental health screenings; history of sexual abuse

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-180200, CD-150100, Offender Assessment Test; S.R.N.S 1&2, PREA Screening Tool User Manual, and interviewed staff responsible for risk screening including classification/medical/mental health staff, Springer Correctional Center is in compliance with all componets of Standard 115.81.

Standard 115.82 - Access to emergency medical and mental health services

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-176100, CD-150102, roster/work schedules for Medical Staff, Springer Correctional Center Behavioral Health on-call schedule and interviews with medical and mental health staff, Springer Correctional Center is in compliance with all componets of Standard 115.82.

Standard 115.83 - Ongoing medical and mental health care for sexual abuse victims and abusers

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-150102, CD-170101 and CD-180100, Memorandum of Understanding with Alternatives to Violence, and interviews with medical/mental health staff, Springer Correctional Center is in compliance with all componets of Standard 115.83

Standard 115.86 - Sexual abuse incident reviews

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based review of Policy CD-150102, Memo from the PREA Compliance Manager and interviews with the Warden and PREA Compliance Manager, Springer Correctional Center is compliant with all componets of Standard 118.86.

Standard 115.87 - Data collection

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of the NMCD's Annual Assessment of Progress in Addressing Sexual Abuse, Springer Correctional Center/NMCD is compliant with all componets of standard 115.87.

Standard 115.88 - Data review for corrective action

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on interviews with PREA Coordinator and Warden, review of past year's sexual abuse data and NMCD Web Page, Springer Correctional Center is compliant with all componets of standard 115.88.

Standard 115.89 - Data storage, publication, and destruction

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on PREA Compliance Manager interview, review of Policy CD-150101, Annual Assessment of NMCD progress in addressing sexual abuse, and New Mexico State Statute 1.18.770.51 Investigative Files, Springer Correctional Center is compliant with all componets of standard 115.89.

AUDITOR CERTIFICATION

I certify that:

- The contents of this report are accurate to the best of my knowledge.
- No conflict of interest exists with respect to my ability to conduct an audit of the agency under review, and
- I have not included in the final report any personally identifiable information (PII) about any inmate or staff member, except where the names of administrative personnel are specifically requested in the report template.

Michael Klemke

Auditor Signature

06/30/16

Date